

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IRAD Ficant(s): Kanamori, Kohji

Serial No.: 10/032,764

Filed: 10/22/2001

Group Art Unit: 2812

Examiner: Fourson III, George R.

Title: Semiconductor Device and Its

**Manufacturing Method** Attorney Docket No.: N32565600

Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

### INFORMATION DISCLOSURE STATEMENT

Dear Sir:

This Information Disclosure Statement is submitted:

under 37 CFR 1.97(b), or

	(Within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
X	under 37 CFR 1.97(c) together with either a:  X Certification under 37 CFR 1.97(e), or  a \$240.00 fee under 37 CFR 1.17(p), or  (After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
<del></del>	under 37 CFR 1.97(d) together with a:  Certification under 37 CFR 1.97(e), and  a petition under 37 CFR 1.97(d)(2)(ii), and  a \$130.00 petition fee set forth in 37 CFR 1.17(i)(1).  (Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Applicant(s) submit herewith Form PTO 1449-Information Disclosure Citation, or equivalent, together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

The relevance of the attached references is that this is the closest art of which Applicant is aware.

Applicant submits that the above references taken alone or in combination neither anticipate nor application is respectfully requested.

It is requested that the information disclosed herein be made of record in this application.

Respectfully submitted,

Darryl G. Walker

Attorney/Agent for Applicant(s)

Reg. No. 43,232

Date: \_\_September 8, 2003\_\_\_\_\_

Telephone No.: 1-408-289-5314

37 CFR §1.8

I hereby certify that this correspondence is being

[] transmitted via facsimile to the United States Patent and Trademark Office to

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[X] deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents,
P.O. Box 1450, Alexandria, VA 22313-1450.

Date of Deposit: \_September 8, 2003\_\_\_\_\_\_

Typed/Printed Name: \_\_Darryl Walker\_\_\_\_\_\_\_

Darryl Walker\_\_\_\_\_\_\_\_

Typed/Printed Name: \_\_Darryl Walker\_\_\_\_\_\_\_\_

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE Applicant(s): Kanamori, Kohji Group Art Unit: 2812 Examiner: Fourson III, George R. Title: Semiconductor Device and Its

Attorney Docket No.: N32565600
Commissioner for Patents
P.O. Box 1450

Alexandria, VA 22313-1450

## STATEMENT UNDER 37 CFR 1.97(e)

Sir:

The undersigned hereby certifies that either:

Manufacturing Method

- (X) Each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, not more than three months prior to the filing of the statement, or
- ( ) No item of information contained in the Information Disclosure Statement
- -- was cited in a communication from a foreign patent office in a counterpart foreign application, and
- -- to the knowledge of the undersigned, after making reasonable inquiry, was known to an individual designated in 37 CFR 1.56 (c) more than three months prior to the filing of the Information Disclosure Statement.

Respectfully Submitted,

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.	By Darryl Walker Attorney/Agent for Applicant(s) Reg. No.: 43232
Date of Deposit:September 8, 2003	Date: September 8, 2003
Typed/Printed Name:Darryl Walker Signature:	Telephone No.: 1-408-289-5314



Sheet 1

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT Filing Date First Named Inv Group Art Unit

of 1

٦	Col	mplete if Known	
	Application Number	10/032,764	
	Filing Date	October 22, 2001	
	First Named Inventor	Kanamori, Kohji	
	Group Art Unit	2812	
	Examiner Name	Fourson III, George R.	
	Attorney Docket Number	N32565600	

			U.S. F	PATENT DOCUMENTS		
		U.S. Patent Document				Pages, Columns,
Examiner Initials*	Cite No. <sup>1</sup>	Number	Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Lines, Where Relevant Passages or Relevant Figures Appear
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			FORE	IGN PATENT DOCUMENTS		
Examiner Initials*	Cite No. 1	Office	Number Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		KR	2000-0053531		08-25-2000	
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Examiner Initials	Cite No. 1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
		Copy of the Korean Patent Office Action dated July 30, 2003.
	<u> </u>	English translations for selected portions of the above-mentioned Office Action

Examiner	Date Considered	
Signature		

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two letter code (WIPO Standard ST.3). ⁴For Japanese documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ₅Applicant is to place a check mark here if English language Translation is attached.